



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/091,484	03/07/2002	Kazuo Goto	03500.016264

5514  
FITZPATRICK CELLA HARPER & SCINTO  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112

CONFIRMATION NO. 9461

FORMALITIES LETTER



\*OC000000007822444\*

Date Mailed: 04/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

*Testfaye A*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/05/2002 MBERHE 00000058 10091484

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2817

03500.016264.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
KAZUO GOTO )  
Application No.: 10/091,484 )  
Filed: March 7, 2002 )  
For: SERIAL COMMUNICATION )  
APPARATUS AND SERIAL )  
COMMUNICATION METHOD )  
Group Art Unit: 2817  
May 31, 2002

Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
JUN -4 2002  
TECHNOLOGY CENTER 2800

SUBMISSION OF PRIORITY DOCUMENT

Sir:

In support of Applicant's claim for priority under 35 U.S.C. § 119, enclosed is a certified copy of the following foreign application:

Japan 2001-067443, filed March 9, 2001.

Applicant's undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
Attorney for Applicant

Registration No. 42,746

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200



03500.016264.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
KAZUO GOTO )  
Application No.: 10/091,484 ) Group Art Unit: 2817  
Filed: March 7, 2002 )  
For: SERIAL COMMUNICATION )  
APPARATUS AND SERIAL )  
COMMUNICATION METHOD ) May 31, 2002

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**ATTENTION: APPLICATION PROCESSING DIVISION, SPECIAL  
PROCESSING AND CORRESPONDENCE BRANCH**

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED**

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF  
APPLICATION - FILING DATE GRANTED mailed April 8, 2002 enclosed please find an  
executed Declaration/Power of Attorney form, together with the surcharge fee of \$130.00.  
Also enclosed is a copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION -  
FILING DATE GRANTED.

The Commissioner is authorized to charge any additional fees or credit any  
overpayment to Deposit Account No. 06-1205.

Applicant's undersigned attorney may be reached in our Costa Mesa,  
California office by telephone at (714) 540-8700. All correspondence should continue to  
be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicant

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